

FATCA & CRS DECLARATION

(Non Individual)

Name	PAN
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Country of Incorporation _____

1. Is "Entity" a tax resident of any country other than India Yes No
(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number	Identification Type <i>(TIN or Other% please specify)</i>
1.			
2.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.
 In case TIN or its functional equivalent is not available, please provide Company Identification number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here _____

OTHER INFORMATION

1. Are you a financial institution (including a Foreign Financial Institution (FFI))? Yes No
 If you have ticked "Yes" then please provide your Global Intermediary Identification Number (GIIN)

 If you do not have a GIIN then please specify the status as either of the 3 given below
 a. Applied for b. Not required to apply for c. Not obtained

2. Are you a Non-financial foreign entity (NFFE). Yes No
 If you have ticked "Yes" then please fill Part 1 given below

Part1 Please tick wherever applicable

1	Are you a listed company (that is a company whose Shares are regularly traded on a recognized stock exchange)?	Yes <input type="radio"/> No <input type="radio"/> Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
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2	Are you related entity of a listed company?	Yes <input type="radio"/> No <input type="radio"/> Name of the company, the stock of which is regularly traded _____ Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
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3	Are you an active NFFE?	Yes <input type="radio"/> No <input type="radio"/> Yes, Nature of business _____: No _____ (If Yes, stop at Q3, if No, answer Q4)
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4	Are you a passive NFFE?	Yes <input type="radio"/> No <input type="radio"/> Yes, Nature of business _____:
DECLARATION		
<p>I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to / any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorizeto share the given informationto other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI / RBI / to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required by domesticor overseas regulators/tax authorities.</p>		
Date	Place	Signature
INSTRUCTIONS		
1	<p>Foreign Account Tax Compliance Act ("FATCA"): In accordance with the relevant provisions of the Foreign Account Tax Compliance Act ("FATCA"), Govt. of India and US have agreed on the principal terms of Inter-Governmental Agreement (IGA). In terms of IGA rules, VNS Finance & Capital Services Ltd is classified as a "Reporting Foreign Financial Institution" to:</p> <p>(a) undertake the necessary due-diligence process; (b) identify US reportable accounts; (c) collect certain required information/documentary evidence ("information") with respect to the residential status of the unit holders and certain other aspects; and (d) directly or indirectly disclose/report/ submit such or other relevant information to the appropriate US and Indian authorities. The applications which do not provide the necessary information are liable to be rejected at the sole discretion of "Reporting Foreign Financial Institution" .The applicants/ prospective investors are advised to seek independent advice from their own financial & tax consultants with respect to the possible implications of FATCA and other applicable laws on their investments in Securities listed on Stock exchanges</p>	
2	<p>Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly, i.e., within 30 days. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.</p>	
3	<p>If you are classified as a passive NFFE for FATCA purpose, please include in the section relating to Ultimate beneficial Owner (UBO), details of any specified US person having controlling interest in the foreign country information field along with your US Tax Identification Number for FATCA purposes. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.</p>	
4	<p>Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.</p>	